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<b>TRANSMITTAL FORM</b> (to be used for all correspondence after initial filing)	Application Number	10/076,248
	Filing Date	July 22, 2002
	First Named Inventor	Mitchell et al.
	Group Art Unit	1645
	Examiner Name	To be assigned
Total Number of Pages in This Submission	Attorney Docket Number	A31304-B-A-F 069906.0105

ENCLOSURES (check all that apply)		
<input checked="" type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment / Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input checked="" type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input checked="" type="checkbox"/> Response to Missing Parts/Incomplete Application <input checked="" type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Assignment Papers (for an Application) <input checked="" type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): Submission of Sequence Listing with Sequence Listing in computer and paper forms; and an executed Combined Declaration and Power of Attorney
Remarks <input type="checkbox"/>		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT		
Firm or Individual name	BakerBotts LLP 30 Rockefeller Plaza New York, NY 10112	
Signature	<i>Carmella L. Stephens</i>	Att Name: Carmella L. Stephens PTO Reg: 41,328
Date	July 22, 2002	

CERTIFICATE OF MAILING			
I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, DC 20231 on this date: July 22, 2002			
Typed or printed name	Carmella L. Stephens		
Signature	<i>Carmella L. Stephens</i>	Date	July 22, 2002

**BAKER BOTTS** LLP

Attorney Docket Number: A31304-B-A-F 069906.0105

Title: METHODS AND COMPOSITIONS FOR USE IN SPLICEOSOME MEDIATED RNA  
TRANS-SPLICING

Use Space Below for Additional Information:



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PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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TECH CENTER 1600/2900

Applicant : Mitchell et al.  
Serial No. : 10/076,248 Examiner : To be assigned  
Filed : February 12, 2002 Group Art Unit: 1645  
For : METHODS AND COMPOSITIONS FOR USE IN SPLICEOSOME  
MEDIATED RNA *TRANS*-SPLICING

### RESPONSE TO NOTICE TO FILE MISSING PARTS

I hereby certify that this paper is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231

July 22, 2002  
Date of Deposit

Carmella L. Stephens  
Attorney Name

41,328  
PTO Registration No

*Carmella L. Stephens*  
Signature

*July 22, 2002*  
Date of Signature

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

In response to the Notice to File Missing Parts dated March 20, 2002, please find enclosed:

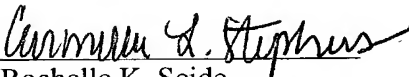
- (i) a Combined Declaration and Power of Attorney, executed by the Applicants;
- (ii) substitute drawings, in compliance with 37 CFR 1.84; and

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PATENT

- (iii) a Submission of Sequence Listing, with the Sequence Listing in computer and paper forms and a statement that the sequence listing information recorded on the computer readable form is identical to the written one; and
- (iv) a Petition for Extension of Time under 37 CFR 1.136(a), up to and including July 22, 2002.

The Commissioner is hereby authorized to charge the fee of \$265 (comprised of \$65 declaration surcharge and \$200 extension-of-time fee for two months) and any additional fees associated with this communication to Deposit Account No. 02-4377. A duplicate copy of this sheet is enclosed.

Respectfully submitted,



Rochelle K. Seide  
PTO Registration No. 32,300

Carmella L. Stephens  
PTO Registration No. 41,328

Attorneys for Applicant

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Encls.



UNITED STATES PATENT AND TRADEMARK OFFICE



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WASHINGTON, D C 20231  
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/076,248	02/12/2002	Lloyd G. Mitchell	31304-B-A-F

21003  
BAKER & BOTTS  
30 ROCKEFELLER PLAZA  
NEW YORK, NY 10112



CONFIRMATION NO. 6317

FORMALITIES LETTER



\*OC000000007678911\*

Date Mailed: 03/20/2002

# NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

08/20/2002 YGIZAW 00000010 024377 10076248

02 FC:205 65.00 CH

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 65.**

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment.

- Substitute drawings in compliance with 37 CFR 1.84 because:
  - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. ( 5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);
- This application clearly fails to comply with the requirements of 37 C.F.R. 1.821-1.825. Applicant's attention is directed to the final rulemaking notice published at 55 FR 18230 (May 1, 1990), and 1114 OG 29 (May 15, 1990). If the effective filing date is on or after July 1, 1998, see the final rulemaking notice published at 63 FR 29620 (June 1, 1998) and 1211 OG 82 (June 23, 1998). If the effective filing date is on or after September 8, 2000, see the final rulemaking notice published in the Federal Register at 65 FR 54604 (September 8, 2000) and 1238 OG 145 (September 19, 2000). Applicant must provide an initial computer readable form (CRF) copy of the "Sequence Listing", an initial paper or compact disc copy of the "Sequence Listing", as well as an amendment directing its entry into the application. Applicant must also provide a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes


no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d). If applicant desires the sequence listing in the instant application to be identical with that of another application on file in the U.S. Patent and Trademark Office, such request in accordance with 37 CFR 1.821(e) may be submitted in lieu of a new CRF.

**For questions regarding compliance to these requirements, please contact:**

- For Rules Interpretation, call (703) 308-4216
- To Purchase PatentIn Software, call (703) 306-2600
- For PatentIn Software Program Help, call (703) 306-4119 or e-mail at [patin21help@uspto.gov](mailto:patin21help@uspto.gov) or [patin3help@uspto.gov](mailto:patin3help@uspto.gov)

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*A copy of this notice **MUST** be returned with the reply.*

  
\_\_\_\_\_  
Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE